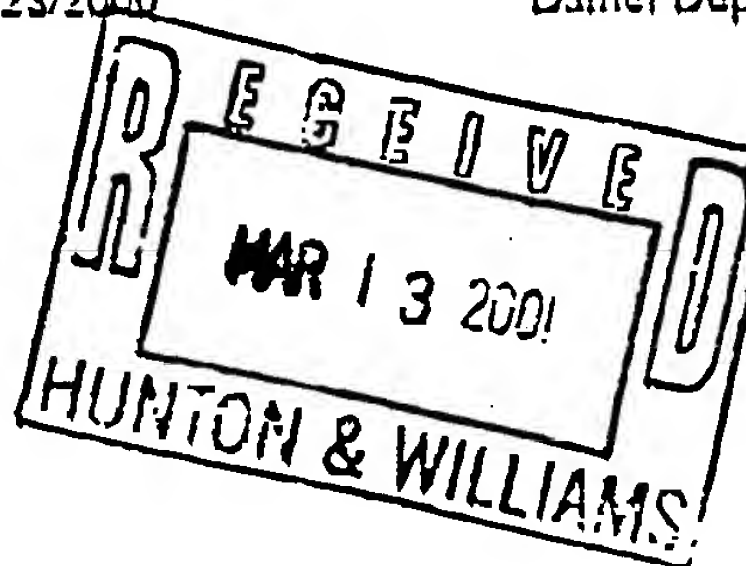




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/723,316	11/29/2000	Daniel Dupret	746220-000005

22204  
NIXON PEABODY, LLP  
8180 GREENSBORO DRIVE  
SUITE 800  
MCLEAN, VA 22102

FORMALITIES LETTER



OC000000005826105

Date Mailed 03/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- The balance due by applicant is \$ 970.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PATENT

Attorney Docket No. 746220-0003 (38763.000004)

Client Ref. No. 1246PCT/US (PP2)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Daniel DUPRET et al.

Serial No.: 09/273,316

Filed: November 28, 2000

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

For: PROCESS FOR OBTAINING RECOMBINED POLYNUCLEOTIDE SEQUENCES *IN VITRO*,  
LIBRARIES OF SEQUENCES AND SEQUENCES THUS OBTAINED

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are enclosed for consideration in the above-identified application:

	FEE
<input checked="" type="checkbox"/> Statutory Basic Filing Fee	\$ 710.00
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts of Nonprovisional Application ("Notice"), with copy of "Notice"	\$
<input checked="" type="checkbox"/> Executed Joint Declaration: <input checked="" type="checkbox"/> Original; [ ] Substitute; Assignment <input checked="" type="checkbox"/> ; Assignment Cover Sheet <input checked="" type="checkbox"/>	\$ 130.00 \$ 40.00
<input checked="" type="checkbox"/> Petition for one month Extension of time	\$ 110.00
<input checked="" type="checkbox"/> English translation of application and Processing fee of \$130.00 for furnishing the English translation later than 20 months from the earliest claimed priority date (37 CFR 1.492 (f))	\$ 130.00

## PATENT

Attorney Docket No. 746220-0005 (58763.000004)

Application Serial No. 09/723,316

Client Ref. No. 1246PCT/US (PP2)

	Claims Remaining	Claims Paid For	Extra	Rate	Fee
Total Claims	49	20	29	x \$18.00	\$ 522.00
Independent Claims	4	3	**	x \$80.00	\$ 80.00
Multiple Dependent Claims (if applicable)				\$ 270.00	\$ 270.00
TOTAL EXCESS CLAIMS FEE					\$ 872.00
TOTAL FEES BEING SUBMITTED					\$ 1,992.00

A check in the amount of \$1,992.00 is submitted herewith. The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and § 1.17 associated with this communication or credit any overpayment to the deposit account of Hunton & Williams, Deposit Account Number 50-0206. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: May 14, 2001By: Robert M. Schulman

Robert M. Schulman, Reg. No. 31,196

David H. Milligan, Reg. No. 42,893

HUNTON &amp; WILLIAMS

1900 K Street, N.W.

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DEC 10 2001

GROUP 1600

**HUNTON &  
WILLIAMS**1900 K STREET, N.W.  
WASHINGTON, D.C. 20006-1109TEL 202-955-1500  
FAX 202-778-2201

FAX

**TO**                      **NAME**                      Examiner Steven Tizzio  
                             **FIRM**                              U.S. Patent and Trademark Office  
                             **FAX NO.:**                            703-305-3014  
                             **PHONE NO.:**                        703-305-1903

**PAGES (INCLUDING COVER):**                      16

**ORIGINAL TO FOLLOW IN MAIL:**                      ☐ Yes ☒ No

**FROM**                      **NAME:**                              Rayna R. Smith  
                             **DIRECT DIAL:**                        202-955-1807

**MESSAGE**                      Steve  
                             Along with the May 14, 2001 filing we filed an English Translation of this application. If you do not have this please let me know. I didn't want to send a duplicate copy by facsimile because it is 43 pages. I can be reached at the number listed above. Thank you for all of your help.

Rayna

IF PROBLEM WITH TRANSMISSION, PLEASE CONTACT OPERATOR AT 202-955-1500.

**OPERATOR**

**DATE:**                                      December 7, 2001  
**TIME:**  
**CLIENT/MATTER NAME:**  
**CLIENT/MATTER NO.:**                      58763.000004

This communication is confidential and is intended to be privileged pursuant to the attorney-client privilege and the work-product doctrine. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, and return the original message to us at the above address via the U.S. Postal Service

PATENT ☒  
(UTILITY)

DESIGN ☐

Application Serial No.: 09/723,316

Client/Matter: 746220.0005  
(58763.000004)

Inventor: Daniel DUPRET et al.

Client: BREESE MAJEROWICZ

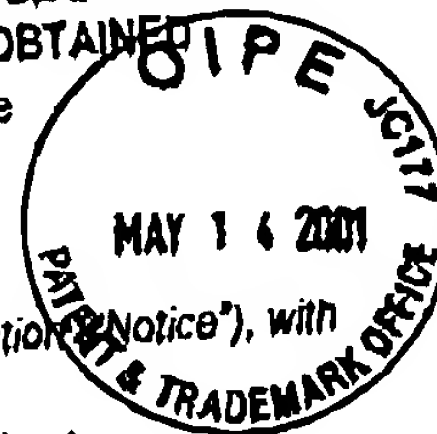
Filing Date: November 28, 2000  
Atty/Sec.: RMS/DHM/hlc

Date: May 14, 2001

Title: PROCESS FOR OBTAINING RECOMBINED POLYNUCLEOTIDE SEQUENCES IN  
VITRO, LIBRARIES OF SEQUENCES AND SEQUENCES THUS OBTAINED

The following has been received in the U.S. Patent and Trademark Office  
on the date stamped hereon.

1. Transmittal Letter
2. Response to Notice to File Missing Parts of Nonprovisional Application (Notice), with copy of "Notice"
3. Executed Joint Declaration, Assignment, and Assignment Cover Sheet
4. English translation of application
5. Check in the amount of \$1,992.00



DOCKETED